



DAC/FFW

PATENT APPLICATION

IN THE U.S. PATENT AND TRADEMARK OFFICE

January 7, 2009

Applicants: Junzo SUNAMOTO et al

For: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE

Serial No.: 09/936 953 Group: 1617

Confirmation No.: 4435

Filed: September 17, 2001 Examiner: Chong

International Application No.: PCT/JP00/02044

International Filing Date: March 30, 2000

Atty. Docket No.: Yanagihara 62

Mail Stop PETITION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

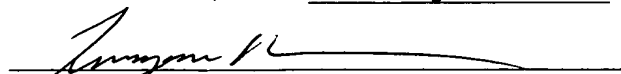
RECONSIDERATION OF HOLDING OF ABANDONMENT

Sir:

The decision of the Board of Appeals was rendered on October 13, 2006 (a copy of the first page of the Decision is enclosed herewith). On November 1, 2006, a Notice of Abandonment was mailed out by the Patent Office. A copy of the Notice of Abandonment is enclosed herewith. However, as provided under 37 CFR 1.197, Applicants had two months to take a further action. On December 13, 2006, a Request for Continued Examination containing a request for suspending action under 37 CFR 1.103(c) for three months. In light of the above facts, Applicants respectfully submit that an
(Please see the following page.)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 7, 2009.

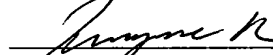

Terryence F. Chapman

abandonment in fact did not occur as Applicants filed a Request for Continued Examination within two months of the mailing date of the Decision of the Board of Appeals. Also enclosed herewith is an Examiner's Interview Summary Record dated September 12, 2008 in which the Examiner admits that the Notice of Abandonment was filed prior to the two month date from the Decision of the Board of Appeals and that Applicants' Request for Continued Examination was filed within two months of that Decision. As such, Applicants respectfully submit that a submission of the Request for Continued Examination in fact constituted a reconsideration of the holding of abandonment and that the present application in fact is not abandoned since Applicants undertook all actions required by it before the two month date.

Applicants respectfully request that this application be reinstated by the Patent Office and prosecution continued.

The Commissioner is hereby authorized to charge any additional fee which may be required by this paper, or to credit any overpayment, to Deposit Account No. 06-1382.

Respectfully submitted,


Terryence F. Chapman

TFC/smd

FLYNN, THIEL, BOUTELL
& TANIS, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: (269) 381-1156
Fax: (269) 381-5465

David G. Boutell	Reg. No. 25 072
Terryence F. Chapman	Reg. No. 32 549
Mark L. Maki	Reg. No. 36 589
Liane L. Churney	Reg. No. 40 694
John A. Waters	Reg. No. 24 802
Brian R. Tumm	Reg. No. 36 328
Donald J. Wallace	Reg. No. 43 977
Dale H. Thiel	Reg. No. 24 323
Sidney B. Williams, Jr.	Reg. No. 24 949
Heon Jekal	Reg. No. L0379*

*limited recognition number

Encl: Copy of MPEP 711.03
Copy of first page of Board's Decision
dated October 13, 2006
Copy of Notice of Abandonment
dated November 1, 2006
Copy of Express Mailing Certificate for
Request for Continued Examination Application
dated December 13, 2006
Copy of Examiner's Interview Summary Record
dated September 12, 2008
Postal Card



United States Patent and Trademark Office

PATENTS

[Home](#) | [Site Index](#) | [Search](#) | [FAQ](#) | [Glossary](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)

[Patents](#) > [Search Collections](#) > [MPEP](#) > **711.03 Reconsideration of Holding of Abandonment; Revival - 700 Examination of Applications**

[Go to MPEP - Table of Contents](#)

browse before

711.03 Reconsideration of Holding of Abandonment; Revival - 700 Examination of Applications

711.03 Reconsideration of Holding of Abandonment; Revival

When advised of the abandonment of his or her application, applicant may either ask for reconsideration of such holding, if he or she disagrees with it on the basis that there is no abandonment in fact; or petition for revival under **37 CFR 1.137**.

browse after

KEY: =online business system =fees =forms =help =laws/regulations =definition (glossary)

The Inventors Assistance Center is available to help you on patent matters. Send questions about USPTO programs and services to the USPTO Contact Center (UCC). You can suggest USPTO webpages or material you would like featured on this section by E-mail to the webmaster@uspto.gov. While we cannot promise to accommodate all requests, your suggestions will be considered and may lead to other improvements on the website.

[\[.HOME\]](#) | [\[SITE INDEX\]](#) | [\[SEARCH\]](#) | [\[eBUSINESS\]](#) | [\[HELP\]](#) | [\[PRIVACY POLICY\]](#)

Last Modified: 11/18/2008 11:39:00

[Go to MPEP - Table of Contents](#)

The opinion in support of the decision being entered today was *not* written for publication and is *not* binding precedent of the Board.

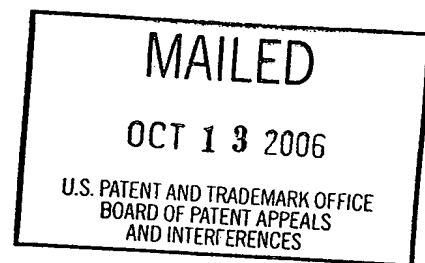
UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES

Ex parte JUNZO SUNAMOTO, KUNIO SHIMADA, AKIO HAYASHI
RYUZO HOSOTANI, YOSHIHIRO YANO and KAZUNARI AKIYOSHI

Appeal No. 2006-2554
Application No. 09/936,953

ON BRIEF



Before SCHEINER, MILLS and LINCK, *Administrative Patent Judges*.

LINCK, *Administrative Patent Judge*.

DECISION ON APPEAL

This is a decision on appeal under 35 U.S.C. § 134 from the final rejection under 35 U.S.C. § 103(a) of claims 1, 4, 5 and 8-12, all of the pending claims in Application No. 09/936,953, filed Sept. 17, 2001 (hereafter the "953 application").

Claim 1 is the only independent claim. It reads:

1. A cosmetic product comprising 99.999-50 wt. % of cosmetic components, a solvent containing at least one of a volatile oil and a volatile organic solvent and 0.001-50 wt. % of a pullulan-cholesterol derivative formed by substituting the hydroxyl groups of mono-saccharide units constituting the pullulan of the pullulan-cholesterol derivative, in



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/936,953	09/17/2001	Junzo Sunamoto	Yanagihara Case 62	4435

7590 11/01/2006
Flynn Thiel Boutell & Tanis
2026 Rambling Road
Kalamazoo, MI 49008-1699

DHT/____ DG8____ RJT____
TAC____ MLM____ LLC____
BRT____ SRT____ SBW____

NOV 04 2006

DJW____ KLP____ PMG____
GMS____ CMC____ TLH____
FILE____

EXAMINER

CHONG, YONG SOO

ART UNIT PAPER NUMBER

1617

DATE MAILED: 11/01/2006

COPY

Please find below and/or attached an Office communication concerning this application or proceeding.



Notice of Abandonment

Application No.

09/936,953

Examiner

Yong S. Chong

Applicant(s)

SUNAMOTO ET AL.

Art Unit

1617

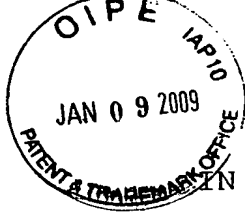
-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

This application is abandoned in view of:

1. ☐ Applicant's failure to timely file a proper reply to the Office letter mailed on _____.
 - (a) ☐ A reply was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply (including a total extension of time of _____ month(s)) which expired on _____.
 - (b) ☐ A proposed reply was received on _____, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
 - (c) ☐ A reply was received on _____ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
 - (d) ☐ No reply has been received.
2. ☐ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
 - (a) ☐ The issue fee and publication fee, if applicable, was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
 - (b) ☐ The submitted fee of \$_____ is insufficient. A balance of \$_____ is due.
The issue fee required by 37 CFR 1.18 is \$_____. The publication fee, if required by 37 CFR 1.18(d), is \$_____.
 - (c) ☐ The issue fee and publication fee, if applicable, has not been received.
3. ☐ Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
 - (a) ☐ Proposed corrected drawings were received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply.
 - (b) ☐ No corrected drawings have been received.
4. ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. ☒ The decision by the Board of Patent Appeals and Interference rendered on 13 October 2006 and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. ☐ The reason(s) below:

S. Wang
SHENGJUN WANG
PRIMARY EXAMINER
Yong S. Chong

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.



PATENT APPLICATION

IN THE U.S. PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label No.: EV 908 858 973 US

Date of Mailing: December 13, 2006

Applicants: Junzo SUNAMOTO et al

Title: COSMETIC PRODUCT CONTAINING
POLYSACCHARIDE-STEROL DERIVATIVE

Serial No.: 09/936 953

Group: 1617

Confirmation No.: 4435

Filed: September 17, 2001

Examiner: Chong

International Application No.: PCT/JP00/02044

International Filing Date : March 30, 2000

Atty. Docket No.: Yanagihara 62

COPY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

MAILED TO PATENT OFFICE
DATE DEC 13 2006

EXPRESS MAILING CERTIFICATE

Sir:

I hereby certify that the attached paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FLYNN, THIEL, BOUTELL & TANIS, P.C.

By: Candice Ash

Date: December 13, 2006

Documents attached: RCE Application
Transmittal dated December 13, 2006
including enclosures listed thereon

Telephone: (269) 381-1156

191.05/05



Interview Summary

Application No.

09/936,953

Applicant(s)

SUNAMOTO ET AL.

Examiner

YONG S. CHONG

Art Unit

1617

All participants (applicant, applicant's representative, PTO personnel):

(1) YONG S. CHONG.

(3) _____

(2) Mr. Terry Chapman.

(4) _____

COPY

Date of Interview: 12 September 2008.

Type: a) ☒ Telephonic b) ☐ Video Conference

c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☐ No.

If Yes, brief description: _____

Claim(s) discussed: _____

Identification of prior art discussed: _____

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

/Yong S Chong/
Examiner, Art Unit 1617

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Attorney called Examiner to determine what the status of the case was. Apparently, Attorney had filed a RCE within 2 months of the Board Decision to affirm the Examiner. However, an Abandonment was filed prior to that, which prevented the RCE from being entered into record. Unfortunately since the case is already abandoned it is up to Applicant to revive the case since the Examiner cannot do anything at this point. It was suggested that the Applicant file a petition to withdraw the abandonment since Applicant has responded to the Board Decision within 2 months as verified by the record.